Evaluation of the LED under Article 62 – Questions to Data Protection Authorities / European Data Protection Board

Fields marked with * are mandatory.

Background

The Data Protection Law Enforcement Directive (LED) entered into force on 6 May 2016 and the Member States had to transpose it by 6 May 2018. It applies to the domestic and cross-border processing of personal data by competent authorities for the purposes of preventing, investigating, detecting or prosecuting criminal offences and executing criminal penalties, including safeguarding against and preventing threats to public security. The LED is the first instrument that takes a comprehensive approach to data protection in the field of law enforcement, including by regulating ‘domestic’ processing. It is therefore a significant development compared with the earlier Framework Decision (which covered only transmission between Member States) that it repealed and replaced.

By harmonising the protection of personal data by law enforcement authorities in EU and Schengen countries, it contributes to increased trust and data exchange between authorities for law enforcement purposes, provided such exchange is based on a law, while at the same time ensuring that the rights of individuals are effectively protected.

As required by the LED, the Commission shall present to the European Parliament and to the Council a first report on the evaluation and review of the Directive by 6 May 2022. Following the review the Commission shall, if necessary, submit appropriate proposals for amendments, in particular taking account of developments in information technology and in the light of the state of progress in the information society.

The LED stipulates that the Commission shall take into account the positions and findings of the European Parliament, of the Council and of other relevant bodies or sources. The Commission may also request information from Member States and supervisory authorities. The Commission has already started a dialogue with the Member States through the Council Working party on Data Protection. A dedicated questionnaire has also been sent to civil society organisations by the European Union Agency for Fundamental Rights (FRA).

For the purpose of the evaluation and review the Commission shall in particular examine the application and functioning of the LED provisions on international data transfers. Besides, this questionnaire seeks to cover other aspects with particular relevance for the supervisory authorities, such as the exercise of their tasks and powers and their cooperation with each other, as well as the consistent application of the LED in the EU.
As this questionnaire intends to contribute to evaluating the LED, in your replies please provide information on your activities (e.g. as regards the decision-making, awareness-raising, training etc.) which fall under the scope of the LED.

We would be grateful to receive the replies to this questionnaire in its online form in English, before **5 November 2021**, so that they can be sent to the European Commission as part of the EDPB contribution to the LED review by 17 December 2021

Please note that your replies may be made public.

When there are several DPAs in your Member State, please provide a consolidated reply at national level.

When replying, please take into account that the questions below concern the **period from the date when the LED was transposed in your Member State to 5 November 2021**, unless otherwise specified.

Following the input from other stakeholders, it is not excluded that we might have additional questions at a later stage.

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**QUESTIONNAIRE**

We kindly ask the countries that have more than one SA to send us one consolidated reply.

*Select your Country*

Slovak Republic

**Powers**

* Q1: In your opinion, did the LED strengthen your investigative powers / corrective powers?
  - [ ] Yes
  - [ ] No

* Q2: Please list your investigative powers
  - [x] To obtain, from the controller and the processor, access to all personal data and to all information necessary for the performance of its tasks
  - [ ] To obtain access to any premises of the controller and the processor, including to any data processing equipment and means, in accordance with Union or Member State procedural law
  - [x] To carry out investigations in the form of data protection audits
  - [ ] Other(s)
Q3: Do you consider your investigation powers effective
- Yes
- No

Q3.2: If needed, please provide more details below:

Q4: Do you face any practical difficulties in applying your investigative powers?
- Yes
- No

Q5: Have you conducted investigations and/or inspections on your own initiative or only on the basis of complaints?

Multiple replies are possible
- On our own initiative
- On the basis of complaints

Q6: Do you have all the powers listed under Article 47(2)(a), (b – including rectification, erasure, restriction) and (c) LED?
- Yes
- No

Q7: Do you have the same corrective powers towards all law enforcement authorities?
- Yes
- No

Q8: Do you consider your corrective powers effective?
- Yes
- No

Q9: Have you used your corrective powers?
- Yes
- No

Q9.3: Please provide the reason why you have not used your corrective powers
In general SK SA uses its corrective powers towards controllers or processors to ensure the compliance with the GDPR and/or Slovak Data Protection Act, but there have been no complaints received by SK SA to use the corrective powers guaranteed by the LED transposed to Slovak Data Protection Act.

Complaints

• Q10: Has there been an increase in complaints following the LED transposition in your Member State?
  ☐ Yes
  ☑ No

• Q11: Please indicate the issues raised the most in these complaints, in particular as regards data subject rights.
  ☐ The respect of the proportionality and necessity principle (Article 4 LED)
  ☐ The respect of the purpose limitation principle, including for subsequent processing
  ☐ Data minimisation principle (Article 4 (1)(c) LED)
  ☐ Accuracy of the data (Article 4 (1)(d) LED)
  ☐ Storage limitation principle (Article 4 (1)(e) LED) and appropriate time limits (Article 5 LED)
  ☐ Accountability of the controller (Article 4(4) LED)
  ☐ The determination of the legal basis (Article 8 / Article 10 LED)
  ☐ The conditions related to the processing of special categories of personal data (Article 10 LED)
  ☐ Automated individual decision-making, including the right to obtain human intervention in automated individual decision-making (Article 11 LED)
  ☐ Modalities for exercising the rights (Article 12 LED)
  ☐ The right to information (Article 13 LED)
  ☐ Right of access by the data subject and limitations to this right (Articles 14 and 15 LED)
  ☐ The right to rectification or erasure of personal data (Article 16 LED)
  ☐ Exercise of the data subject’s rights in the context of joint controllership (Article 21 LED)
  ☐ Data protection by design and by default (Article 20)
  ☐ The obligation to keep track of the logs and purposes of processing regarding the logs (Article 25 LED)
  ☐ The obligation to conduct a data protection impact assessment (Article 27 LED)
  ☐ The obligation to ensure the security of processing, including data breaches (Articles 4(1)(f), 29 LED)
  ☑ Other

• Q11.19: Other- please specify:
SK SA has not received complaints following the LED transposition in Slovakia yet.

* Q12: Are you following up on all complaints?
  - Yes
  - No

* Q13: Have you received complaints by organisations representing data subjects under Article 55 LED?
  - Yes
  - No

Consultations and advisory powers

* Q14: Have competent authorities utilised the prior consultation procedure in accordance with Article 28 (1)(a) or (b) LED?
  - Yes
  - No

* Q15: Have you established a list of processing operations subject to prior consultation pursuant to Article 28(3) LED?
  - Yes
  - No

* Q16: Does your national parliament / government consult you during the preparation of legislative or other regulatory measures with a data protection dimension?
  - Not at all
  - Occasionally
  - Systematically

* Q17: How many opinions under Article 47(3) LED, other than prior consultations pursuant to Art 28 (1) LED, have you issued upon request or on your own initiative?
SK SA does not keep such records.

We provide consultations (email, post, phone) to competent authorities, if they address us questions regarding the correct application of the provisions of the LED, which has been transposed into the Slovak Personal Data Protection Act.

We also provide recommendations or assistance through participation in national working groups.

SK SA is also obliged to comment draft legislation submitted to the "inter-department comment procedure" within the legislative process, which means that it is obliged to examine the submitted materials from the point of view of personal data protection and as stated in the answer to Q.16, sometimes the relevant ministries turn to the SK SA with requests for co-operation on draft legislation before such a proposal enters the legislative process.

* Q18: Please indicate the types of issues on which competent authorities have approached you for advice (e.g. data breach notifications, handling of data subjects' requests, security).

[Multiple replies are possible – please note that as regards consultations in the context of DPIAs relevant replies should be made to Questions 17 and 18]

- The respect of the proportionality and necessity principle (Article 4 LED)
- Storage limitation principle (Article 4 (1)(e) LED) and appropriate time limits (Article 5 LED)
- Accountability of the controller (Article 4(4) LED)
- The determination of the legal basis (Article 8 / Article 10 LED)
- Processing of special categories of personal data (Article 10 LED)
- Types of processing, in particular, using new technologies, mechanisms or procedures (Article 27 / Article 28 (1)(a) LED)
- Processing for purpose of research and/or innovation (Article 9(2) LED)
- Automated individual decision-making, including profiling (Article 11 LED)
- Modalities for exercising the rights (Article 12 LED)
- Handling of data subjects requests in relation to the exercise of their rights (Chapter III LED)
- Joint controllership, including on the arrangements of the joint controllers' responsibilities (Article 21 LED)
- Controller / processor arrangements (Article 22 LED)
- Data protection by design and by default, including anonymisation and pseudonymisation (Article 20 LED)
- The obligation to keep track of the logs and purposes of processing regarding the logs (Article 25 LED)
- Appropriate security measures (Article 4(1)(f) and Article 29 LED)
- Other

Q18.2: Storage limitation principle (Article 4 (1)(e) LED) and appropriate time limits (Article 5 LED)- raised issues:
- appropriate time limits for recordings used by the police

Q18.10: Handling of data subjects requests in relation to the exercise of their rights (Chapter III LED) - raised issues:

- information to be made available or given to the data subject by the police when using camera systems for LED purposes

* Q18.16: Other - raised issues:

- art. 32 - 34 - designation, position and tasks of the DPO under the LED
- cybercrime issues (specifically identifying the category of data subjects - victims), art. 6
- conditions for judicial cooperation in criminal matters

Awareness-raising, training and guidance

* Q19: Have you issued guidance and / or practical tools supporting competent authorities or processors to comply with their obligations?

- Yes
- No
Q20: Have you provided training to / carried out awareness-raising activities for competent authorities and / or processors (DPOs included)?

- Yes
- No

* Q20.1: how many and on which topics?

once - LED in general, comparison with GDPR with respect to different institutes

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**Data breach notifications**

* Q21: How many data breach notifications have you received?

0

* Q22: In what proportion have you followed up with investigations? (%)

0

* Q23: In what proportion have you advised or ordered competent authorities to take measures mitigating the risks? (%)

0

* Q24: In what proportion has the communication to the data subject been delayed, restricted or omitted on the grounds set out in Article 13(3) LED? (%)

0

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**Power pursuant to Article 47(5) LED**

Q25: Have you exercised your power to
Q26: Did you face difficulties in exercising this power?

- Yes
- No

Exercise of data subjects’ rights through the SA

Q27: How many requests under Article 17 LED have you received?

0

Q27.1: What were the outcomes of the cases?

Multiple choices are possible

- Request declared inadmissible
- All or some data requested provided to data subject
- SA informed data subject that it has conducted all necessary verifications or a review
- Controller ordered to provide (partial) access to the personal data
- Controller ordered to rectify personal data
- Controller ordered to erase personal data
- Controller ordered to restrict the processing of personal data
- SA applied other corrective powers (e.g. a ban on processing and/or fines)
- Others

Q27.1.2: Other - Please specify:

SK SA has not received any complaints following the LED transposition in Slovakia.

Q28: Did you encounter any particular problems?

- Yes
- No
**International transfers**

- **Q29:** Have you encountered cases where a controller transferred personal data based on a ‘self-assessment’ pursuant to Article 37(1)(b) LED?
  - [ ] Yes
  - [ ] No

- **Q30:** Have you carried out any investigations into data transfers based on derogations, in particular those set out in Article 38(1)(c) and (d) LED?
  - [ ] Yes
  - [ ] No

- **Q31:** Have you received any information pursuant to Article 39(3) LED about data transfers based on Article 39(1) LED?
  - [ ] Yes
  - [ ] No

- **Q32:** Have you carried out activities to promote the awareness of controllers/processors (specifically) with respect to their obligations under Chapter V of the LED?
  - [ ] Yes
  - [ ] No

- **Q33:** Have you exercised your advisory powers towards the government and/or competent authorities with respect to data transfers under Chapter V of the LED, for instance as regards the level of appropriate safeguards under Article 37(1)(a), (b) LED?
  - [ ] Yes
  - [ ] No

- **Q33.1:** Have you issued any guidelines, recommendations and/or best practices in this regard?
  - [ ] Yes
  - [ ] No

- **Q34:** Have you provided (or been asked to provide) assistance to Member States in assessing and, where necessary, reviewing their international agreements involving international data transfers (for instance, relating to mutual legal assistance, police cooperation) that were concluded prior to 6 May 2016?
  - [ ] Yes
  - [ ] No

- **Q35:** Have you received/handled complaints (by data subjects and/or bodies, organisations or associations in accordance with Article 55) specifically addressing the issue of data transfers?
  - [ ] Yes
  - [ ] No
Q36: Have you exercised your investigative and/or enforcement powers with respect to data transfers?
- Yes
- No

Q36.1: In particular, have you ever imposed (temporary or definitive) limitations, including a ban, on data transfers?
- Yes
- No

Q37: Have there been cases in which you have cooperated with foreign data protection authorities (for instance, exchange of information, complaint referral, mutual assistance)?
- Yes
- No

Q37.1: Are there existing mechanisms on which you can rely for such cooperation?
- Yes
- No

Judicial review

Q38: Have data subjects / competent authorities / processors contested your decisions (or inaction) before national courts?
- Yes
- No

38.1: Please indicate the number of cases respectively for data subjects and competent authorities /processors:

<table>
<thead>
<tr>
<th>Request for information</th>
<th>SK SA does not keep such records</th>
</tr>
</thead>
<tbody>
<tr>
<td>Request to carry out investigations</td>
<td>SK SA does not keep such records</td>
</tr>
</tbody>
</table>

Cooperation

Q39: Have you used the mutual assistance tool under Article 50 LED?
- Yes
- No

Q39.1: Please provide numbers for:

Requests you received - please indicate the type of cooperation requested, differentiating between:
### Q39.2: Please indicate how you replied to those requests:

<table>
<thead>
<tr>
<th>Nbr</th>
<th>Requests declined</th>
<th>Information provided</th>
<th>Investigation carried out</th>
<th>Inspection carried out</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>SK SA does not keep such records</td>
<td>SK SA does not keep such records</td>
<td>SK SA does not keep such records</td>
<td>SK SA does not keep such records</td>
<td>SK SA does not keep such records</td>
</tr>
</tbody>
</table>

### Q39.3: Requests you sent - please indicate the type of cooperation requested, differentiating between:

<table>
<thead>
<tr>
<th>Nbr</th>
<th>Request for information</th>
<th>Request to carry out investigations</th>
<th>Request to carry out inspections</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>SK SA does not keep such records</td>
<td>SK SA does not keep such records</td>
<td>SK SA does not keep such records</td>
<td>SK SA does not keep such records</td>
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</tbody>
</table>

- **Q40: Have you encountered any obstacles (e.g. of an administrative nature) when requesting or providing assistance to another DPA?**
  - [ ] Yes
  - [x] No

- **Q40.1: Please describe them as well as possible solutions.**
  
  nothing to describe
Human, financial and technical resources

**Q41:** How many persons (in full time equivalents) in your DPA (respectively EDPB Secretariat) work on issues that fall within the scope of the LED specifically?

<table>
<thead>
<tr>
<th></th>
<th>Number (FTE)</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>2017</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>2018</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>2019</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>2020</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>2021</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

**Q42:** How would you assess your DPA’s resources for its work on the LED from a human and financial point of view?

- Sufficient
- Insufficient

**Q42.1:** Please explain why the resources are insufficient:

see answer to Q. 43

**Q43:** Do you face any specific challenges when supervising competent authorities in terms of expertise (criminal law / technical / IT) and IT resources?

- Yes
- No

**Q43.1:** what are the challenges you are facing?

- Insufficient expertise in criminal law
- Insufficient expertise in working methods and practices of law enforcement authorities
- Insufficient expertise in international cooperation in criminal matters
- Insufficient expertise in technologies used in the area of law enforcement
Q43.1.4: Insufficient expertise in technologies used in the area of law enforcement- please provide more details below:

- insufficient in-depth training/certification mechanism

Q43.1.5: Insufficient IT resources-please provide more details below:

- lack of IT staff able to perform in-depth inspections of IT systems
- insufficient tech. resources + financial resources

Horizontal questions

• Q44: In your opinion, what has been the main impact(s) of the transposition of the LED in your Member State?

- transparent definition of processing conditions for competent authorities
- specific definition of the powers of the supervisory authority
- ensuring a consistent and high level of protection of the personal data of natural persons and facilitating the exchange of personal data between competent authorities of Members States

• Q45: Have you identified any specific challenges regarding the application of the LED in relation to new technologies? Please explain?
* Q45.1: Please explain.

- the use of new technologies for the purpose of detecting and investigating crime (facial recognition used by competent authorities, use of location data, breaking a telecommunication secret - breaking the encrypted communication of a suspect or accused person) - what are the conditions for such processing of data, if allowed to what extent is such intervention justified, what measures should be taken in the process

- the use of drones by the competent authorities to ensure the safety of designated persons and designated objects

- the use of data from electronic communications and bank accounts to detect violations and their interconnection with other information systems - ie. centralization of data from information systems and their evaluation in the form of big data

* Q46: Have you identified any important problems regarding the transposition of the LED in your Member State?

☐ Yes

☐ No

* Q46.1: Please explain.

- definition of the term competent authority in the conditions of the Slovak Republic
- determination of the competencies of authorities authorized to supervise the processing of personal data by the courts
- conditions relating to DPIA, prior consultation, transposition of the art. 12, 13 + limitations to the rights

* Q47: Is there anything else you would like to mention relevant for the LED evaluation that is not covered in this questionnaire?

☐ Yes

☐ No

* Q47.1: Please specify.

the data may not be accurate as SK SA does not keep separate statistics for LED purposes.